



INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

“Program for Internal Auditors of Banks & FIs”

In Virtual Mode

from 24th to 25th June 2025



Open to Members & Non-Members

Individual participants can also register for the programme at their own cost

Program Co-ordinators: Mr. Shiv Kumar Gupta & Mr. Shijoy Joseph

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Website: www.iibf.org.in

BRIEF BACKGROUND

Established on 30th April 1928 as a company under Section 26 of the Indian Companies Act, 1913, the Indian Institute of Banking & Finance (IIBF), formerly known as the Indian Institute of Bankers (IIB), is a professional body of Banks, Financial Institutions, and their employees in India. IIBF during its 97 years of service been actively involved in examination, training & academics. It has emerged as a premier institute in banking and finance education.

Considering the emerging requirements of finance professionals in the ever-changing dynamic environment, IIBF has been providing quality training. The programs are designed in consultation with industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis.

The regular offerings in varied areas prepare the finance professionals ahead of the impending change. IIBF has state-of-the-art training facilities at its Leadership Centre at Mumbai, and it has four Professional Development Centers (PDCs) at Mumbai, Delhi, Chennai and Kolkata catering to the increasing demand for the focused training programmes.

ABOUT THE PROGRAMME

In the dynamic world of finance, internal audits are crucial for maintaining operational integrity and compliance. As the third line of defence, internal auditors play a pivotal role in identifying risks, preventing revenue leakages, and ensuring seamless operations amid digital transformation, regulatory scrutiny, and financial uncertainties.

This specialized program is designed to empower auditors with cutting-edge skills and tools, focusing on critical areas such as Risk-Based Internal Audit (RBIA), branch risk ratings, document verification, and revenue leakage detection. Participants will engage in interactive sessions led by seasoned bankers and industry experts, exploring real-world case studies and best practices.

The program also emphasizes leveraging technology, including data analytics and automation, to enhance audit precision. By equipping auditors with practical strategies, this course ensures they can strengthen their institutions' risk management frameworks and uphold resilience in an ever-evolving financial ecosystem.

OBJECTIVES

The programme aims at developing understanding among participants about:

- **Enhancing Expertise and Skills:** Equip audit officers with advanced knowledge and skills to navigate modern banking complexities, foster professional growth, and drive continuous improvement in audit practices.
- **Strengthening Audit and Risk Management:** Build robust audit systems and practices to protect the bank's interests, ensure regulatory compliance, and enhance auditors' ability to identify, assess, and mitigate risks effectively.
- **Promoting Ethics and Transparency:** Foster a culture of integrity by upholding the highest ethical standards, ensuring accountability, and safeguarding the bank's assets and reputation through transparent and ethical audit practices.

CONTENT OVERVIEW

- **Evolution of Audits and Risk-Based Approach:** Trace the transition from traditional audits to Risk-Based Internal Audits, emphasizing their relevance, applications, and role in modern banking operations and supervision.
- **Comprehensive Audit Methodologies:** Gain expertise in auditing branches, departments, credit facilities, and Forex operations under the Core Banking System (CBS), while understanding audits' role in fraud prevention, KYC/AML compliance, and early risk detection.
- **Technology and Governance in Audits:** Explore IT governance, the integration of Governance, Risk, and Compliance (GRC), and the use of audit automation to enhance efficiency and ensure robust internal audit functions.

METHODOLOGY

- **Mode of Delivery:** The program will be conducted through virtual (online) interactive lectures, case studies, presentations, and experience sharing via the ZOOM platform.
- **Participation Requirements:** Live sessions will be held by faculty members. Participants can join from their home or office using a laptop, desktop or smartphone supported with audio and video. Login details will be shared via email 24 hours before the program.
- **Certificate Criteria:** A minimum of 75% attendance is mandatory for the issuance of the certificate.

TARGET GROUP

Officers working in credit departments at branches, central processing cells, controlling offices, internal audit and training departments. (Banks & FIs may send their nominations in bulk.)

DURATION

2 Days - From 24th to 25th June 2025

Timings: 10.00 A.M. to 05.15 P.M. (First day session at 09.45 am)

FEES

₹ 5000/- plus GST @ 18% (₹ 900/-) aggregating to ₹ 5900/- per participant
(In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account as given below:

| | |
|-------------------------|---|
| Beneficiary Name | : Indian Institute of Banking and Finance |
| Name of the Bank Branch | : State Bank of India, Vidyavihar (West), Mumbai. |
| SB Account No | : 42895503864 |
| IFSC Code | : SBIN0011710 |
| PAN No.: AAATT3309D | GSTN No.: 27AAATT3309D1ZS |

In case of any queries, kindly contact

| | |
|-------------------------------|------------------------------|
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| Landline: 022 69437301 | Landline: 022 69437302 |

**** Last Date of Registration is 21st June 2025 ****

To register kindly email, us the following details

| Sr. No. | Name (as required on certificate) | Institution Name | Branch/ Office/Department | Designation | Mobile No. (WhatsApp) | E-mail Id |
|---------------------------------|---|---------------------|------------------------------|-------------|--------------------------|--------------|
| 1 | Ms. | | | | | |
| 2 | Mr. | | | | | |
| Add additional rows as required | | | | | | |

| Institution Details | |
|---|---|
| Name of Bank / FI | : |
| Address (where certificate is to be sent) | : |
| GST No. | : |
| PAN No. | : |
| Details of Nominating Official | |
| Name | : |
| Designation | : |
| Mobile No. | : |
| E-Mail Id | : |